

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>VMACosUSNP</b> U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/533262</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US03/35057</b>	INTERNATIONAL FILING DATE <b>November 3, 2003</b>	PRIORITY DATE CLAIMED <b>November 2, 2002</b>
TITLE OF INVENTION <b>Aqueous Activated Components Conveyed In A Non-Aqueous Carrier System</b>		
APPLICANT(S) FOR DO/EO/US <b>John E. Walls, Jeffrey W. Putt, Kenneth E. DeLine</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.		
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.		
3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.		
4. <input checked="" type="checkbox"/> The US has been elected (Article 31).		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul>		
6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul>		
7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul>		
8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).		
9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).		
10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A preliminary amendment.		
14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.		
15. <input type="checkbox"/> A substitute specification.		
16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. <div style="text-align: center;"><b>John E. Walls, Jeffrey W. Putt, Kenneth E. DeLine</b></div>		
17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.		
18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).		
19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		
20. <input checked="" type="checkbox"/> Other items or information: <b>See firm Letter of Transmittal.</b>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/533262		November 3, 2003		VMACosUSNP	
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee		..... \$300		\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee					
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)		..... \$100		\$ 100.00	
All other situations		..... \$200			
23. <input checked="" type="checkbox"/> Search fee					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority		..... \$100			
International Search Report prepared and provided to the Office		..... \$400		\$ 100.00	
All other situations		..... \$500			
TOTAL OF 21, 22 and 23 =				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$ 0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	30 - 20 =	10	x \$ 50	\$ 500.00	
Independent claims	2 - 3 =	0	x \$200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1000.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				500.00	
SUBTOTAL =				\$ 500.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 500.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
TOTAL FEES ENCLOSED =				\$ 540.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ 540.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.477(a) or (b)) must be filed and granted to restore the International Application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO:</p> <p>CR MILES, P.C. 1 Old Town Square, Suite 200 B Fort Collins, CO 80524</p>					
				SIGNATURE	
				Craig Miles	
				NAME	
				45,954	
				REGISTRATION NUMBER	

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UNITED STATES PATENT AND TRADEMARK OFFICE

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Title: Aqueous Activated Components Conveyed  
In A Non-Aqueous Carrier System

Inventor: John E. Walls, Jeffrey W. Putt, Kenneth E. DeLine

Application Number:

Filing Date:

Group Art Unit:

Examiner Name:

Attorney Docket Number: VMACosUSNP

---

**CERTIFICATE OF EXPRESS MAILING**

I, Craig R. Miles, hereby certify to the truth of the following items:

1. I am an employee of CR MILES, P.C., 1 Old Town Square, Suite 200 B, Fort Collins, CO 80524.
2. I have this day deposited the attached Application Data Sheet (4 pages) with the United States Postal Service as "Express Mail" for mailing to:

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dated this 27 day of April, 2005.

  
Craig R. Miles

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UNITED STATES PATENT AND TRADEMARK OFFICE

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Title: Aqueous Activated Components Conveyed  
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Inventor: John E. Walls, Jeffrey W. Putt, Kenneth E. DeLine

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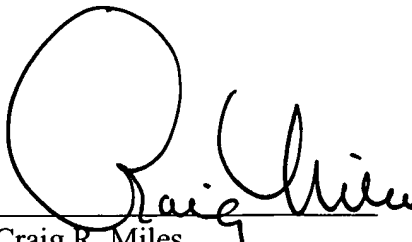
CERTIFICATE OF EXPRESS MAILING

I, Craig R. Miles, hereby certify to the truth of the following items:

1. I am an employee of CR MILES, P.C., 1 Old Town Square, Suite 200 B, Fort Collins, CO 80524.
2. I have this day deposited the attached Preliminary Amendment (9 pages) with the United States Postal Service as "Express Mail" for mailing to:

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dated this 27 day of April, 2005.

  
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Craig R. Miles

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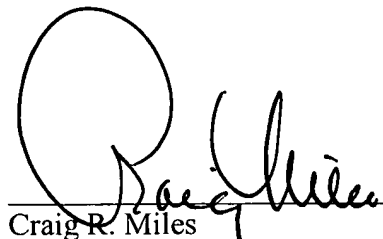
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I, Craig R. Miles, hereby certify to the truth of the following items:

1. I am an employee of CR MILES, P.C., 1 Old Town Square, Suite 200 B, Fort Collins, CO 80524.
2. I have this day deposited the attached Transmittal Letter To the United States Designated Office(DO/EO/US) Concerning A Filing Under 35 U.S.C. §371 (2 pages) with the United States Postal Service as "Express Mail" for mailing to:

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dated this 27 day of April, 2005.

  
Craig R. Miles

10/533262

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JC17 Rec'd PCT/PTO 27 APR 2005

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UNITED STATES PATENT AND TRADEMARK OFFICE

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Title: Aqueous Activated Components Conveyed  
In A Non-Aqueous Carrier System

Inventor: John E. Walls, Jeffrey W. Putt, Kenneth E. DeLine

Application Number:

Filing Date:

Group Art Unit:

Examiner Name:

Attorney Docket Number: VMACosUSNP

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LETTER OF TRANSMITTAL

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find enclosed for filing the United States National Stage of International Patent Application No. PCT/US03/35057 entitled "Aqueous Activated Components Conveyed In A Non-Aqueous Carrier System", including the following documents:

1. an Application Data Sheet (4 pages);
2. a Declaration For Patent Application of John E. Walls, Jeffrey W. Putt, and Kenneth DeLine (5 pages);
3. a Power of Attorney -- John E. Walls (1 pages);
4. a Statement of Small Entity Status-- John E. Walls (1 pages), hereby claimed;
5. a Power of Attorney -- Jeffrey W. Putt (1 pages);
6. a Statement of Small Entity Status -- Jeffrey W. Putt (1 pages), hereby claimed;
7. a Power of Attorney -- Kenneth E. DeLine (1 pages);

8. a Statement of Small Entity Status -- Kenneth E. DeLine (1 pages), hereby claimed;
9. an Assignment of Patent Rights of John E. Walls, Jeffrey W. Putt, and Kenneth E. DeLine to Venture Management Alliance, LLC (1 pages) along with a Recordation Cover Sheet (1 page);
10. a Power of Attorney of the assignee Venture Management Alliance LLC (1 pages);
11. a Statement Establishing Small Entity Status (Small Business) of Venture Management Alliance LLC (1 pages), hereby claimed;
12. a copy of the specification as originally filed in the PCT (25 pages) without the addition of any new matter;
13. a copy of the formal drawings as originally filed in the PCT (4 sheets) without the addition of any new matter;
14. a copy of WO 2004/041293 as published in the PCT (30 pages);
15. a copy of the PCT International Search Report (5 pages);
16. a copy of the PCT Demand and Article 19 Amendments (18 pages);
17. a copy of the PCT International Preliminary Examination Report (9 pages);
18. an Information Disclosure Citation (1 pages) along with copies of the cited references;
19. a Preliminary Amendment (9 pages);
20. a Transmittal Letter to the United States Designated /Elected Office (DO/EO/US) concerning filing under 35 U.S.C. §371 (Form PTO-1390, rev 02-2005) along with a check in the amount of \$ 315.00 payable to the Commissioner of Patents and Trademarks;
21. this firm Letter of Transmittal (4 pages);
22. Express Mail Certificates for each document; and
23. a Postcard Receipt for return to the undersigned.

Please note:

The applicant hereby claims small entity status pursuant to 37 C.F.R. § 1.27(c)

10/533262  
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This application claims priority to International Patent Cooperation Treaty Application No. PCT/US03/35057, filed November 3, 2003, and claims the benefit of United States Provisional Patent Application No. 60/423,231, filed November 2, 2002.

All right title and interest in this United States National Phase application and in International Patent Cooperation Treaty Application No. PCT/US03/35057, filed November 3, 2003, and in United States Provisional Patent Application No. 60/423,231, filed November 2, 2002, is owned in the entirety by Venture Management Alliance LLC.

I have this 27 day of April, 2005, either myself personally, or through my direction of staff at this office, deposited all of the items listed in this Letter of Transmittal with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to:

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Please process the enclosed United States divisional patent application and return the enclosed postcard receipt.

**Direct all correspondence to:**

**CR MILES, P.C.  
1 Old Town Square, Suite 200 B  
Fort Collins, CO 80524**

**Customer No.: 39208**

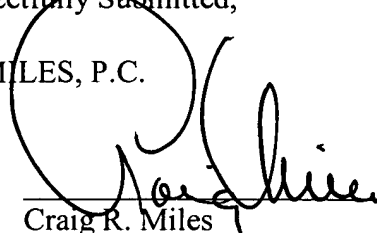
Dated this 27 day of April, 2005.



Respectfully Submitted,

CR MILES, P.C.

By:

  
Craig R. Miles

ATTORNEY FOR APPLICANTS

PTO No. 45,954

1 Old Town Square, Suite 200B

Fort Collins, CO 80524

(970) 492-0000 telephone

(970) 492-0003 facsimile

10/533262  
JC17 Rec'd PCT/PTO 27 APR 2005

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UNITED STATES PATENT AND TRADEMARK OFFICE

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Title: Aqueous Activated Components Conveyed  
In A Non-Aqueous Carrier System

Inventor: John E. Walls, Jeffrey W. Putt, Kenneth E. DeLine

Application Number:

Filing Date:

Group Art Unit:

Examiner Name:

Attorney Docket Number: VMACosUSNP

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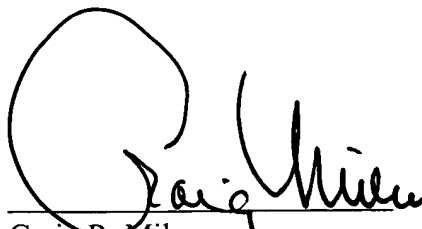
**CERTIFICATE OF EXPRESS MAILING**

I, Craig R. Miles, hereby certify to the truth of the following items:

1. I am an employee of CR MILES, P.C., 1 Old Town Square, Suite J, Fort Collins, CO 80524.
2. I have this day deposited the attached firm Letter of Transmittal (4 pages) with the United States Postal Service as "Express Mail" for mailing to:

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Alexandria, VA 22313-1450

Dated this 27 day of April, 2005.

  
Craig R. Miles

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UNITED STATES PATENT AND TRADEMARK OFFICE

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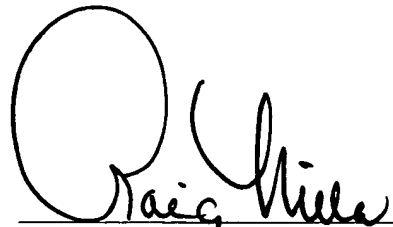
**CERTIFICATE OF EXPRESS MAILING**

I, Craig R. Miles, hereby certify to the truth of the following items:

1. I am an employee of CR MILES, P.C., 1 Old Town Square, Suite 200 B, Fort Collins, CO 80524.
2. I have this day deposited the attached specification entitled "Aqueous Activated Components Conveyed In A Non-Aqueous Carrier System" (25 pages) with the United States Postal Service as "Express Mail" for mailing to:

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dated this 27 day of April, 2005.

  
Craig R. Miles

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UNITED STATES PATENT AND TRADEMARK OFFICE

Title: Aqueous Activated Components Conveyed  
In A Non-Aqueous Carrier System

Inventor: John E. Walls, Jeffrey W. Putt, Kenneth E. DeLine

Application Number:

Filing Date:

Group Art Unit:

Examiner Name:

Attorney Docket Number: VMACosUSNP


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I, Craig R. Miles, hereby certify to the truth of the following items:

1. I am an employee of CR MILES, P.C., 1 Old Town Square, Suite 200 B, Fort Collins, CO 80524.
2. I have this day deposited the attached copy of the International Preliminary Examination Report (9 pages) with the United States Postal Service as "Express Mail" for mailing to:

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dated this 27 day of April, 2005.

  
Craig R. Miles

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**UNITED STATES PATENT AND TRADEMARK OFFICE**

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Title: Aqueous Activated Components Conveyed  
In A Non-Aqueous Carrier System

Inventor: John E. Walls, Jeffrey W. Putt, Kenneth E. DeLine

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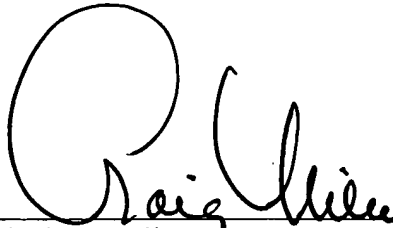
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1. I am an employee of CR MILES, P.C., 1 Old Town Square, Suite 200 B, Fort Collins, CO 80524.
2. I have this day deposited the attached copy of the Demand for Examination Article 19 Amendments (18 pages) with the United States Postal Service as "Express Mail" for mailing to:

Mail Stop PCT  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Dated this 27 day of April, 2005.

  
Craig R. Miles

10/533262

JC17 Rec'd PCT/PTO 27 APR 2005

UNITED STATES PATENT AND TRADEMARK OFFICE  
PCT

Title: Aqueous Activated Components Conveyed In A  
Non-Aqueous Carrier System  
Applicant: Venture Management Alliance, LLC  
Inventor: John E. Walls, Jeffrey W. Putt, Kenneth E. DeLine  
International Application Number: PCT/US03/35057  
International Filing Date: 3 November 2003  
Receiving Office: RO/US  
Attorney Docket Number: VMACosPCT

CERTIFICATE OF EXPRESS MAILING

I, Craig R. Miles, hereby certify to the truth of the following items:

1. I am an employee of CR MILES, P.C., 204 Walnut Street, Suite J, Fort Collins, CO 80524.
2. I have this day deposited the attached Article 19 Amendments as replacement sheets 21-26 (6 pages) with the United States Postal Service as "Express Mail" for mailing to:

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dated this 28 day of May, 2004.

  
Craig R. Miles

10/533262  
JC17 Rec'd PCT/PTO 27 APR 2005

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UNITED STATES PATENT AND TRADEMARK OFFICE

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Attorney Docket Number: VMACosUSNP

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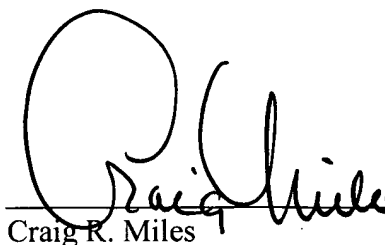
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I, Craig R. Miles, hereby certify to the truth of the following items:

1. I am an employee of CR MILES, P.C., 1 Old Town Square, Suite 200 B, Fort Collins, CO 80524.
2. I have this day deposited the attached Power of Attorney - John E. Walls (1 page) with the United States Postal Service as "Express Mail" for mailing to:

Mail Stop PCT  
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P.O. Box 1450  
Alexandria, VA 22313-1450

Dated this 27 day of April 2005.

  
Craig R. Miles

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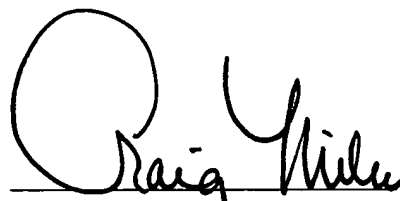
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I, Craig R. Miles, hereby certify to the truth of the following items:

1. I am an employee of CR MILES, P.C., 1 Old Town Square, Suite 200 B, Fort Collins, CO 80524.
2. I have this day deposited the attached Statement Establishing Small Entity Status - Inventor - Kenneth E. DeLine ( 1 page) with the United States Postal Service as "Express Mail" for mailing to:

Mail Stop PCT  
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Alexandria, VA 22313-1450

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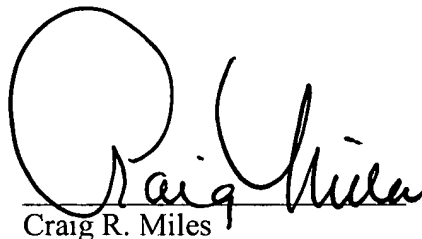
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2. I have this day deposited the attached Assignment of John E. Walls, Jeffrey W. Putt, and Kenneth E. DeLine to Venture Management Alliance LLC (7 pages) along with a Recordation Cover Sheet (1 page) with the United States Postal Service as "Express Mail" for mailing to:

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Attorney Docket Number: VMACosUSNP

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Craig R. Miles

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UNITED STATES PATENT AND TRADEMARK OFFICE

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Title: Aqueous Activated Components Conveyed  
In A Non-Aqueous Carrier System

Inventor: John E. Walls, Jeffrey W. Putt, Kenneth E. DeLine

Application Number:

Filing Date:

Group Art Unit:

Examiner Name:

Attorney Docket Number: VMACosUSNP

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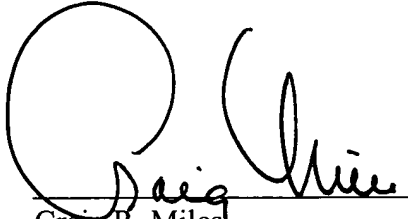
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10/533262

JC17 REC EPC17/PTD 27 APR 2005

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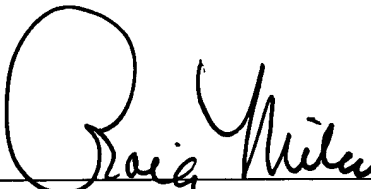
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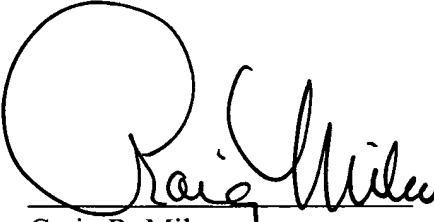
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